

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: International Business Machines Corporation

Application No./Patent No.: 7,107,416

Filed/Issue Date: September 12, 2006

Entitled: METHOD, SYSTEM, AND PROGRAM FOR IMPLEMENTING RETENTION POLICIES TO ARCHIVE RECORDS

International Business Machines Corporation

Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

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2. an assignee of less than the entire right, title and interest.
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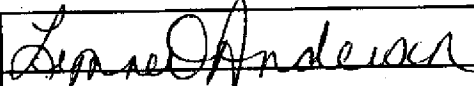
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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature:		Date:	<u>3-6-07</u>
Name	Lynne D. Anderson	Telephone Number	703-299-1455
Title	Program Manager - U.S. Patent and Trademark Office Liaison		

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

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DOC DATE: 05/13/2004

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DOC DATE: 05/24/2004

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SERIAL NUMBER: 10737367

FILING DATE: 12/15/2003

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ISSUE DATE:

TITLE: METHOD, SYSTEM, AND PROGRAM FOR IMPLEMENTING RETENTION POLICIES TO
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1. Alan L. Smart 1. May 13, 2004
2. Toby Lyn Marek 2. May 24, 2004Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

International Business Machines Corporation
New Orchard Rd
Armonk, New York 10504Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒
- Assignment
- ☐
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4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s): 10/737,367, filed December 15, 2003

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

David W. Victor
KONRAD RAYNES & VICTOR, LLP
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6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41):\$ 40

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May 27, 2004

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CERTIFICATE UNDER 37 CFR 1.8

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5/27/04
Date

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LETTER OF AUTHORITY

I, Patrick Toole, Vice President, Intellectual Property and Standards of International Business Machines Corporation (IBM), a New York corporation, do hereby delegate the authority to approve and execute documents on behalf of IBM relating to proceedings in the Patent, Trademark Registration or Copyright offices servicing any country or region of the world, or to related appeal proceedings, including, but not limited to: petitions; powers of Attorney, authorizations; verification; nominations of representatives; declarations; documents relating to maintenance and defense of the resulting industrial property rights; assignments of rights to apply for and acquire patents and trademark registrations; and evidence of such assignments; requests for the registration of patents as available for licensing, reports of inventions and petitions for waiver of patent rights to any department or agency of the United States Government; and, assignments, licenses and other instruments confirmatory of Government rights in patents and inventions, to Lynne D. Anderson, program manager, U.S. Patent and Trademark Office liaison.

Date: March 30, 2006



Patrick Toole
Vice President, Intellectual Property & Standards
International Business Machines Corporation